

10/05/01
JCS97 U.S. PTO

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 37422P012

First Inventor or Application Identifier Shawn X. Gao

Title DEVICE FOR PRESENTING ACOUSTICAL AND VIBRATORY STIMULI AND

Express Mail Label No. EM560888074US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification Total Pages 23
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C.113) Total Sheets 3
- Oath or Declaration Total Pages
- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76.

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CFR)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. Paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO - 1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application Information: Examiner _____

Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Name (Print/Type) George W Hoover, Reg. No. 32,992

Signature  Date 10/05/01

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

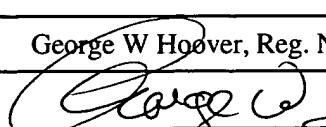
370.00

Complete if Known

Application Number	
Filing Date	10/05/01
First Named Inventor	Shawn X. Gao, et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	37422P012

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)																																																																																																																																				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <input type="text" value="02-2666"/> Deposit Account Name <input type="text" value="Blakely, Sokoloff, Taylor & Zafman LLP"/> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					3. ADDITIONAL FEE <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227 Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920</td><td>112 920 Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840</td><td>113 1,840 Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215 55 Extension for response within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216 195 Extension for response within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217 445 Extension for response within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218 695 Extension for response within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228 945 Extension for response within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219 160 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220 160 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221 140 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidably</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241 640 Petition to revive - unintentionally</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242 640 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243 230 Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244 310 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123 50 Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126 180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246 370 Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249 370 For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279 370 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169 900 Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="5">* Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (3) (\$)</td> </tr> </tbody> </table>					Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105	130	205 Surcharge - late filing fee or oath		127	50	227 Surcharge - late provisional filing fee or cover sheet.		139	130	139 Non-English specification		147	2,520	147 2,520 For filing a request for ex parte reexamination		112	920	112 920 Requesting publication of SIR prior to Examiner action		113	1,840	113 1,840 Requesting publication of SIR after Examiner action		115	110	215 55 Extension for response within first month		116	390	216 195 Extension for response within second month		117	890	217 445 Extension for response within third month		118	1,390	218 695 Extension for response within fourth month		128	1,890	228 945 Extension for response within fifth month		119	320	219 160 Notice of Appeal		120	320	220 160 Filing a brief in support of an appeal		121	280	221 140 Request for oral hearing		138	1,510	138 1,510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidably		141	1,280	241 640 Petition to revive - unintentionally		142	1,280	242 640 Utility issue fee (or reissue)		143	460	243 230 Design issue fee		144	620	244 310 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Petitions related to provisional applications		126	180	126 180 Submission of Information Disclosure Stmt		581	40	581 40 Recording each patent assignment per property (times number of properties)		146	740	246 370 Filing a submission after final rejection (37 CFR 1.129(a))		149	740	249 370 For each additional invention to be examined (37 CFR 1.129(b))		179	740	279 370 Request for Continued Examination (RCE)		169	900	169 900 Request for expedited examination of a design application		Other fee (specify) _____						* Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$)
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2. EXTRA CLAIM FEES Total Claims <input type="text" value="16"/> -20** = <input type="text" value="0"/> X \$9.00 = <input type="text" value="0.00"/> Independent Claims <input type="text" value="1"/> -3** = <input type="text" value="0"/> X \$42.00 = <input type="text" value="0.00"/> Multiple Dependent <input type="text" value=""/>																																																																																																																																									
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*or number of previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)		
Typed or Printed Name	George W Hoover, Reg. No. 32992			Reg. Number
Signature				Date 10/05/01
	Deposit Account User ID	02-2666		

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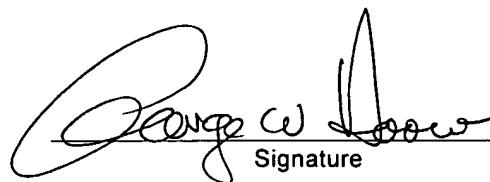
**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122 (B)(2)(B)(i)**

<i>First Named Inventor</i>	Shawn X. Gao
<i>Title</i>	DEVICE FOR PRESENTING ACOUSTICAL AND VIBRATORY
<i>Attorney Docket No</i>	37422P012

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10/05/01

Date



Signature

George W Hoover, Reg. No. 32,992

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

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